

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Helmut ORTMANN et al.

Art Unit: To Be Assigned

Appl. No.: To Be Assigned

Examiner: To Be Assigned

Confirmation No.: To Be Assigned

Filed: February 12, 2004

Atty. Docket No.: 32140-199508

For: Method For Producing A Sheathed
Penetrator

Customer No:

26694

PATENT TRADEMARK OFFICE

Information Disclosure Statement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, submitted herewith is the PTO/SB/08A listing documents A1 through A3 cited in a German Office Action. The relevance of listed documents is indicated in an attached German Office Action. An English language translation of the German Office Action and a copy of documents A1 through A3 are also enclosed.

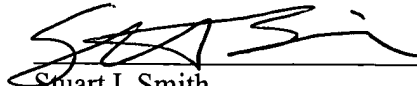
The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement Under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Please charge any necessary fee or credit any overpayment in connection with this
Information Disclosure Statement to Deposit Account No. 22-0261.

Respectfully submitted,

Date: 2/12/04

A handwritten signature in black ink, appearing to read "Stuart I. Smith", written over a horizontal line.

Stuart I. Smith
Registration No. 42,159
VENABLE LLP
P.O. Box 34385
Washington, D.C. 20043-9998

Telephone: (202) 344-4800
Telefax: (202) 344-8300

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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|---|----------------------|-------------------|--------------------------|------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | Complete if Known | |
| | Application Number | To Be Assigned | | |
| | Filing Date | February 12, 2004 | | |
| | First Named Inventor | Helmut ORTMANN | | |
| | Group Art Unit | To Be Assigned | | |
| | Examiner Name | To Be Assigned | | |
| Sheet | 1 | of | 1 | Attorney Docket Number |
| | | | | 32140-199508 |

| U.S. PATENT DOCUMENTS | | | | | | |
|------------------------|--------------------------|----------------------|--------------------------------------|--|--|---|
| Examiner Initials * | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--------------------------|-------------------------|---------------------|--------------------------------------|---|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ₆ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | A1 | DE-PS | 211 778 | | | 12-24-1907 | | |
| | A2 | DE | 40 16 051 | C2 | | 11-21-1991 | | |
| | A3 | DE | 27 03 638 | C2 | | 08-04-1977 | | |
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| OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS | | | |
|--|--------------------------|---|----------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Examiner Signature | | Date Considered | |
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

PC Docs # 522667

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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ATTORNEYS AT LAW